

Notice of Meeting

Audit and Governance Committee



SURREY
COUNTY COUNCIL

Date & time

Wednesday, 28
September 2022
at 10am

Place

Woodhatch Place,
11 Cockshot Hill,
Reigate, Surrey,
RH2 8EF

Contact

Angela Guest
angela.guest@surreycc.gov.uk

Chief Executive

Joanna Killian

We're on Twitter:
@SCCdemocracy

Members

Stephen Cooksey, Victor Lewanski (Vice-Chairman), David Lewis (Chairman), Joanne Sexton and Richard Tear

Independent Member:

Terry Price

AGENDA

1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

2 MINUTES OF THE PREVIOUS MEETING [13 JUNE 2022]

(Pages 1
- 12)

To agree the minutes of 13 June 2022 as a true record of the meeting and to note the minutes of the informal meeting held on 18 July 2022.

3 DECLARATIONS OF INTEREST

All Members present are required to declare, at this point in the meeting or as soon as possible thereafter

- (i) Any disclosable pecuniary interests and / or
- (ii) Other interests arising under the Code of Conduct in respect of any item(s) of business being considered at this meeting

NOTES:

- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest
- As well as an interest of the Member, this includes any interest, of which the Member is aware, that relates to the Member's spouse or civil partner (or any person with whom the Member is living as a spouse or civil partner)
- Members with a significant personal interest may participate in the discussion and vote on that matter unless that interest could be reasonably regarded as prejudicial.

4 QUESTIONS AND PETITIONS

To receive any questions or petitions.

Notes:

1. The deadline for Member's questions is 12.00pm four working days before the meeting (06/09/2022).
2. The deadline for public questions is seven days before the meeting (05/09/2022).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

5 RECOMMENDATIONS TRACKER AND WORKPLAN

(Pages
13 - 22)

To review the Committee's recommendations tracker and workplan.

6 INTERNAL AUDIT PROGRESS - Q1

(Pages
23 - 42)

The purpose of this progress report is to inform members of the work completed by Internal Audit between 1 April 2022 and 30 June 2022.

- 7 ANNUAL COMPLAINTS PERFORMANCE REPORT** (Pages 43 - 68)
- This report gives the Audit & Governance Committee an overview of the Local Government and Social Care Ombudsman's annual letter for the year 2021/22 and an update on complaint handling across the council.
- 8 RISK MANAGEMENT** (Pages 69 - 90)
- This report provides an update on risk management.
- 9 INFORMING THE AUDIT RISK ASSESSMENT FOR SURREY COUNTY COUNCIL GROUP AND PENSION FUND 2021/22** (Pages 91 - 126)
- The report is an annual requirement of the International Standards on Auditing and brings together the views of management and those charged with Governance oversight into one report.
- 10 DATE OF NEXT MEETING**
- The next meeting of Audit & Governance Committee will be on 28 November 2022.

**Joanna Killian
Chief Executive**

Published: Friday, 2 September 2022

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It is requested that if you are not using your mobile device for any of the activities outlined above, it be switched off or placed in silent mode during the meeting to prevent interruptions and interference with PA and Induction Loop systems.

Thank you for your co-operation